



Filing ID #10011843

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Andy Harris  
**Status:** Member  
**State/District:** MD01

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2015  
**Filing Date:** 05/13/2016

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
403b American Century Emerging Markets		\$50,001 - \$100,000	Tax-Deferred	None	<input type="checkbox"/>
403b American Century Global Gold		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
403b American Century Intl Discovery		\$100,001 - \$250,000	Tax-Deferred	None	<input type="checkbox"/>
403b American Century Money Market		\$250,001 - \$500,000	Tax-Deferred	None	<input type="checkbox"/>
403b American Century Strategic Allocation		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
403b CREF Inflation Linked Bond		\$100,001 - \$250,000	Tax-Deferred	None	<input type="checkbox"/>
403b TIAA Traditional		\$100,001 - \$250,000	Tax-Deferred	None	<input type="checkbox"/>
403b Vanguard 500 Index		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
403b Vanguard Capital Opportunity		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
403b Vanguard Emerging Market		\$50,001 - \$100,000	Tax-Deferred	None	<input type="checkbox"/>
403b Vanguard Energy		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
403b Vanguard Life Strategy Growth		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
403b Vanguard MidCap Index		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
403b Vanguard Pacific Index		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
403b Vanguard REIT Index		\$50,001 - \$100,000	Tax-Deferred	None	<input type="checkbox"/>
403b Vanguard Selected Value		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
403b Vanguard Short Term Investment Grade Bond Fund		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
457 MD State Investment Contract Pool		\$100,001 - \$250,000	Tax-Deferred	None	<input type="checkbox"/>
529 - MD prepaid tuition	DC	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
LOCATION: MD					
529 College Savings Plan MD, Portfolio 2018	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: 529					
529 College Savings Plan, Portfolio for College	DC	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: 529					
CREF 403b Equity Index		\$100,001 - \$250,000	Tax-Deferred	None	<input type="checkbox"/>
Fidelity Charitable Gift Fund	JT	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Fidelity Charitable Gift Fund					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
First Mariner Bank CD	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
IRA Ameritrade Money Market	SP	\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
IRA Ameritrade Money Market		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
IRA T Rowe Price Intl Discovery		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Rollover from Keogh					
IRA T Rowe Price Money Market		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Rollover from Keogh					
M & T Checking	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
McCormick & Company, Incorporated (MKC)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
DESCRIPTION: IRA					
MD 529 Prepaid Tuition	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: MD					
DESCRIPTION: DC5					
MD State 457 Large Cap Value		\$100,001 - \$250,000	Tax-Deferred	None	<input type="checkbox"/>
Merck & Company, Inc. Common Stock (MRK)		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
DESCRIPTION: IRA					
Merrill IRA Money Market		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Purchases from dividend payments from stocks held in that IRA - none exceeding the \$1,000 purchase reporting threshold					
Rental Condo	JT	\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Cambridge, MD, US					
DESCRIPTION: Rental Income					
State of Maryland Pension		Undetermined	Pension	\$5,001 - \$15,000	<input type="checkbox"/>
T Rowe Price Charitable Gift Fund	JT	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: T Rowe Price Charitable Gift Fund					
Vanguard 500 Index	DC	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: DC5					
Vanguard MidCap Index	DC	\$1,001 - \$15,000	Dividends, Tax-Deferred	\$1 - \$200	<input type="checkbox"/>
Vanguard Money Market	DC	\$1 - \$1,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: DC5					
Vanguard Money Market	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: JMH					
Vanguard Small Cap Value	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: DC5					
Vanguard Wellington	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: DC3					
Vanguard Wellington	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: DC4					

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
529 - Portfolio 2012	DC	07/1/2015	E	\$15,001 - \$50,000	
LOCATION: US					
DESCRIPTION: exchanged into 529 - Portfolio 2018 in its entirety					
529 - Portfolio for College		Semi-Annually	P	\$1,001 - \$15,000	
LOCATION: US					
DESCRIPTION: Deposits into 529					
529- Portfolio for College	DC	Semi-Annually	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Tuition payments - DC3 and DC4					
529 Prepaid Tuition Plan	DC	02/20/2015		\$1,001 - \$15,000	
LOCATION: MD					
DESCRIPTION: Tuition payment					
529 Prepaid Tuition Plan	DC	09/10/2015		\$1,001 - \$15,000	
LOCATION: MD					
DESCRIPTION: tuition payment					
Fidelity Charitable Gift Fund	JT	11/12/2015	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Charitable Gift Fund	JT	01/31/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
T Rowe Price Charitable Gift Fund	JT	12/31/2015	P	\$1,001 - \$15,000	
Vanguard Money Market	DC	Semi-Annually	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Tuition payments					
Vanguard Tax-Managed Growth and Income	DC	07/1/2015	E	\$1,001 - \$15,000	
DESCRIPTION: Transferred to Vanguard 500 Index in its entirety					

SCHEDULE C: EARNED INCOME

Source	Type	Amount
State of Maryland	Pension	\$13,345.00
Tidewater Anesthesia	medical care	\$3,000.00
Philadelphia medical advisors	medicolegal review	\$1,400.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Navy Federal Credit Union	July 2013	Mortgage on vacation home, Cambridge, Maryland (not rented)	\$100,001 - \$250,000
JT	Pentagon Federal Credit Union	July 2013	Mortgage on personal residence, Cockeysville, Maryland (not rented)	\$500,001 - \$1,000,000

Owner	Creditor	Date Incurred	Type	Amount of Liability

### SCHEDULE E: POSITIONS

Position	Name of Organization
Associate Professor on Leave	Johns Hopkins University
Director	St. Joseph Hospital University of Maryland Health System

### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2011	Johns Hopkins University	Leave of Absence
January 2014	State of Maryland	Health coverage
January 2014	State of Maryland	Pension

### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Heritage Foundation	01/28/2015	01/30/2015	DC - Middleburg, Va - Cockeysville, MD	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Aspen Institute	02/17/2015	02/22/2015	Baltimore - Panama City - Baltimore	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Aspen Institute	03/28/2015	04/3/2015	Baltimore - Berlin, Germany - Baltimore	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Aspen Institute	05/26/2015	05/29/2015	DC - Montreal, Canada - DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

( ) ( )

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Andy Harris , 05/13/2016